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April 18, 2006

From: Dan Cleveland, Jr./Peter Chen

Matter No.: 398953

To:	Company:	Fax Number:	Phone Number:
Mail Stop: Amendment, Commissioner for Patents	U.S. Patent Office	(571) 273-8300	

Number of Pages Transmitted (including this cover sheet): 24

Message:

Applicant(s): Adney, William S. et al.
Serial No.: 09/917,384
Filed: July 28, 2001
For: THERMAL TOLERANT
EXOGLUCANASE FROM
ACIDOTHERMUS CELLULOLYTICUS

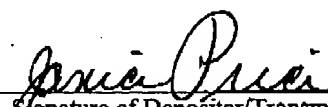
Att. Docket #: NREL 01-38
Examiner: Rao, Manjunath N.
Group Art Unit: 1652
Confirmation No. 9964

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APR 18 2006**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

CERTIFICATE OF TRANSMISSION (37 CFR 1.8)			Docket No:
Applicant(s): Adney, William S. et al.			NREL 01-38
Application No.	Filed:	Examiner:	Group Art Unit:
09/917,384	July 28, 2001	Rao, Manjunath N.	1652
Title: THERMAL TOLERANT EXOGLUCANASE FROM ACIDOTHERMUS CELLULOLYTICUS			
Date of Transmittal: <u>April 18, 2006</u>			
I hereby certify that the following documents:			
<ol style="list-style-type: none">1. Transmittal Form (1 page);2. Fee Transmittal FY 2006 (1 page, in duplicate);3. Amendment (16 pages);4. Terminal Disclaimer to Obviate a Provisional Double Patenting Rejection Over a Pending "Reference" Application(1 page);5. Petition for Extension of Time Under 37 CFR 1.136(a) (1 page, in duplicate); and6. Fax Cover Page (1 page)			
are being transmitted via facsimile number 571-273-8300 to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated above.			
Janice Price			
Name of Depositor/Transmitter			
			
Signature of Depositor/Transmitter			

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PTO/SB/21 (09-04)

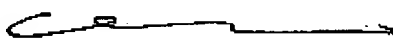
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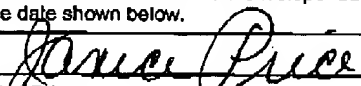
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/917,384
	Filing Date	July 28, 2001
	First Named Inventor	William S. Adney
	Art Unit	1652
	Examiner Name	Rao, Manjunath N.
Total Number of Pages in This Submission	Attorney Docket Number	NREL 01-38

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input checked="" type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Transmission Fax Cover Page
Remarks 		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Lathrop & Gage LC		
Signature			
Printed Name	Xiaoyue Chen		
Date	April 18, 2006	Reg. No.	51,552

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Janice Price	Date	April 18, 2006

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PTO/SB/17 (12-04v2)

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FEE TRANSMITTAL for FY 2006		<i>Complete if Known</i>	
		Application Number	09/917,384
		Filing Date	July 28, 2001
		First Named Inventor	William S. Adney
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Examiner Name	Rao, Manjunath N.
		Art Unit	1852
		Attorney Docket No.	NREL 01-38
TOTAL AMOUNT OF PAYMENT (\$) 65			

METHOD OF PAYMENT (check all that apply)

☐ Check
 ☐ Credit Card
 ☐ Money Order
 ☐ None
 ☐ Other (please identify) : _____

☒ Deposit Account
 Deposit Account Number: 12-0600
 Deposit Account Name: Lathrop & Gage LC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below
 ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s)
 ☒ Credit any overpayments

Under 37 CFR 1.16 and 1.17

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES

Fee Description	Small Entity Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 30 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x	_____	_____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Terminal Disclaimer Fee

65

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	51,552	Telephone	720-831-3014
Name (Print/Type)	Xiaoyue Chen	Date	April 18, 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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